

# Recombinant therapeutic proteins/biologicals and immune responses

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16 May 2021

## **Immune response as part of the desired pharmacological effect**

- Mabs as checkpoint inhibitors in cancer therapy
- Interferon beta in multiple sclerosis treatment
- Mabs in the treatment of auto-immune diseases
- Vaccines



## **Immune response as an unwanted effect**

- Anti-drug antibodies (ADA)

# How do biological products differ from conventional, low molecular weight drugs?

In contrast to most drugs that are chemically synthesized and their structure is known, most biologics are *complex mixtures that are not easily fully characterized.....*

# How do Biologicals compare to small, low molecular weight drugs?

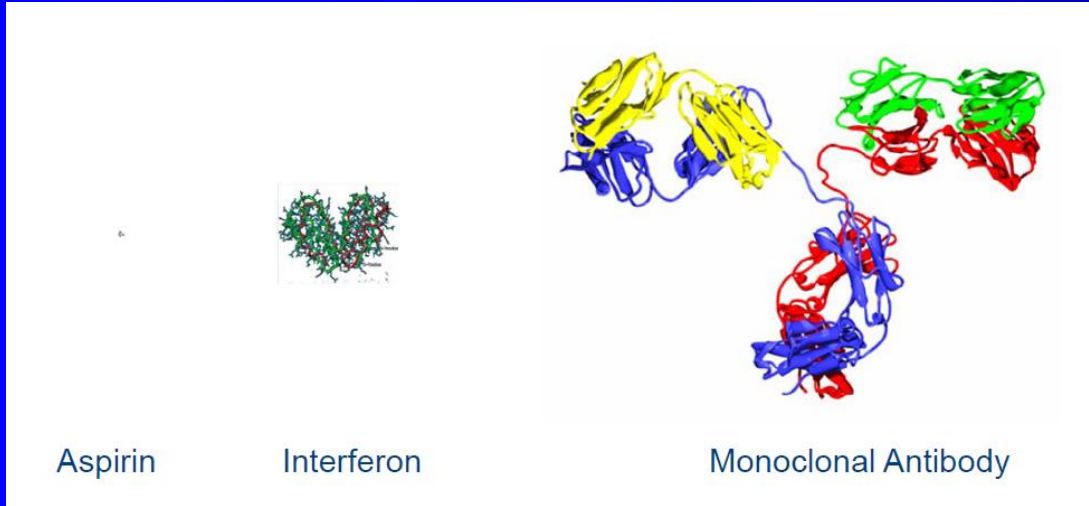
	 <b>SMALL MOLECULE DRUGS</b>	 <b>BIOLOGICALS</b>
<b>Molecular weight</b>	Low (<500)	High (range 5-900 kDa)
<b>Structure</b>	Simple, well-defined	Complex, heterogeneous, defined by manufacturing process
<b>Manufacturing</b>	Chemical synthesis	Produced in living cells or organisms
<b>Stability</b>	Stable	Generally unstable, sensitive to external conditions
<b>Immunogenicity</b>	<b>Mostly non-immunogenic</b>	<b>Mostly immunogenic</b>
<b>Copy characteristics</b>	Identical copies can be made	to generate identical copy versions is a challenge.....

Adapted from GaBI Online – Generics and Biosimilars Initiative [www.gabionline.net/Biosimilars/Research/Small-molecule-versus-biological-drugs](http://www.gabionline.net/Biosimilars/Research/Small-molecule-versus-biological-drugs), based on Declerck and Schellekens.



# Small molecules versus proteins: size difference

Proteins are ..... Big!

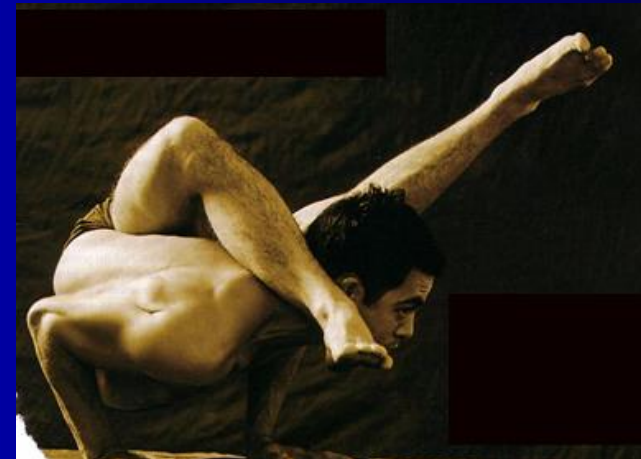


Mw around 150

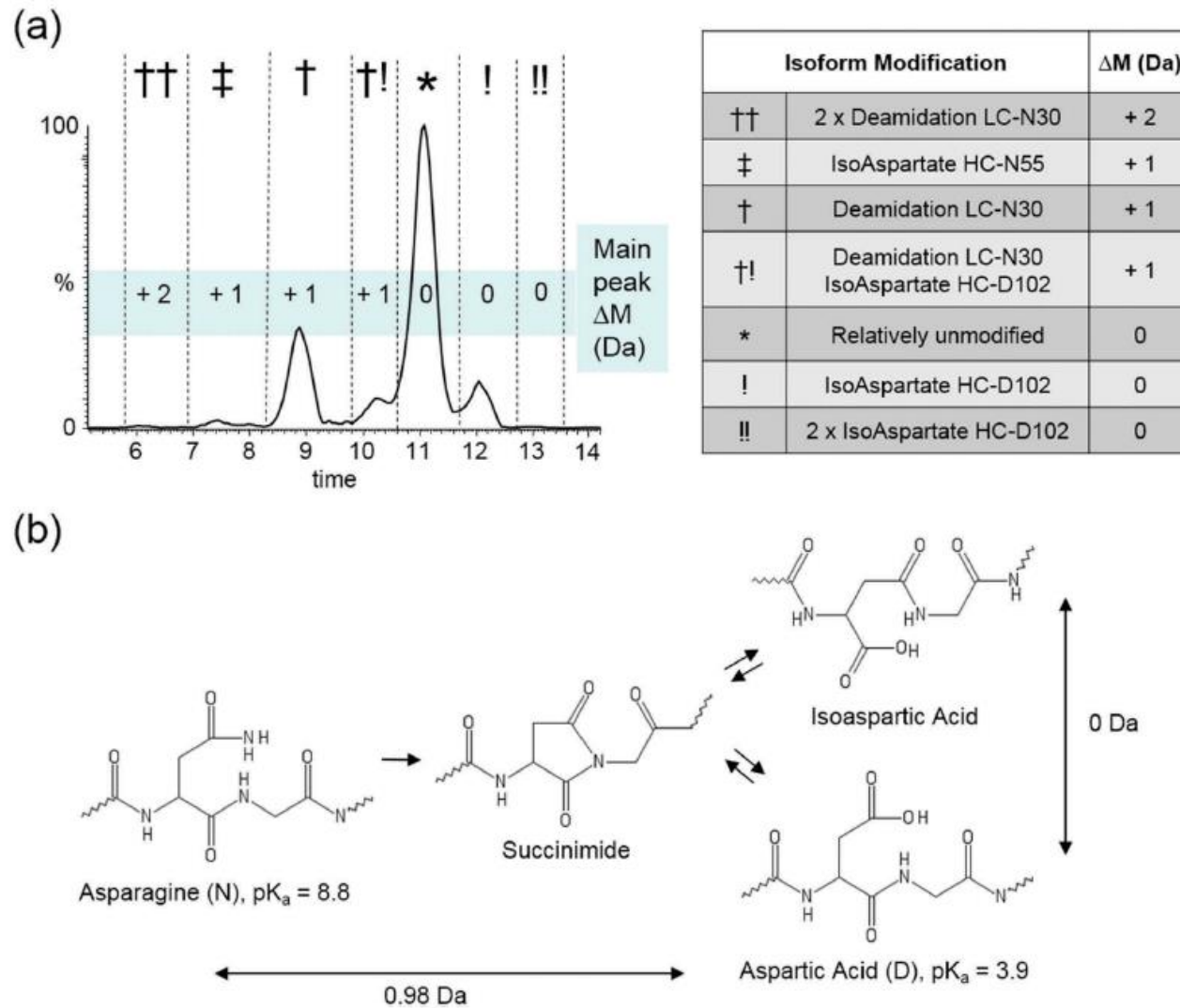
around 20,000

around 150,000

Proteins are 'vulnerable'



# Therapeutic protein products are often heterogeneous mixtures of different molecules



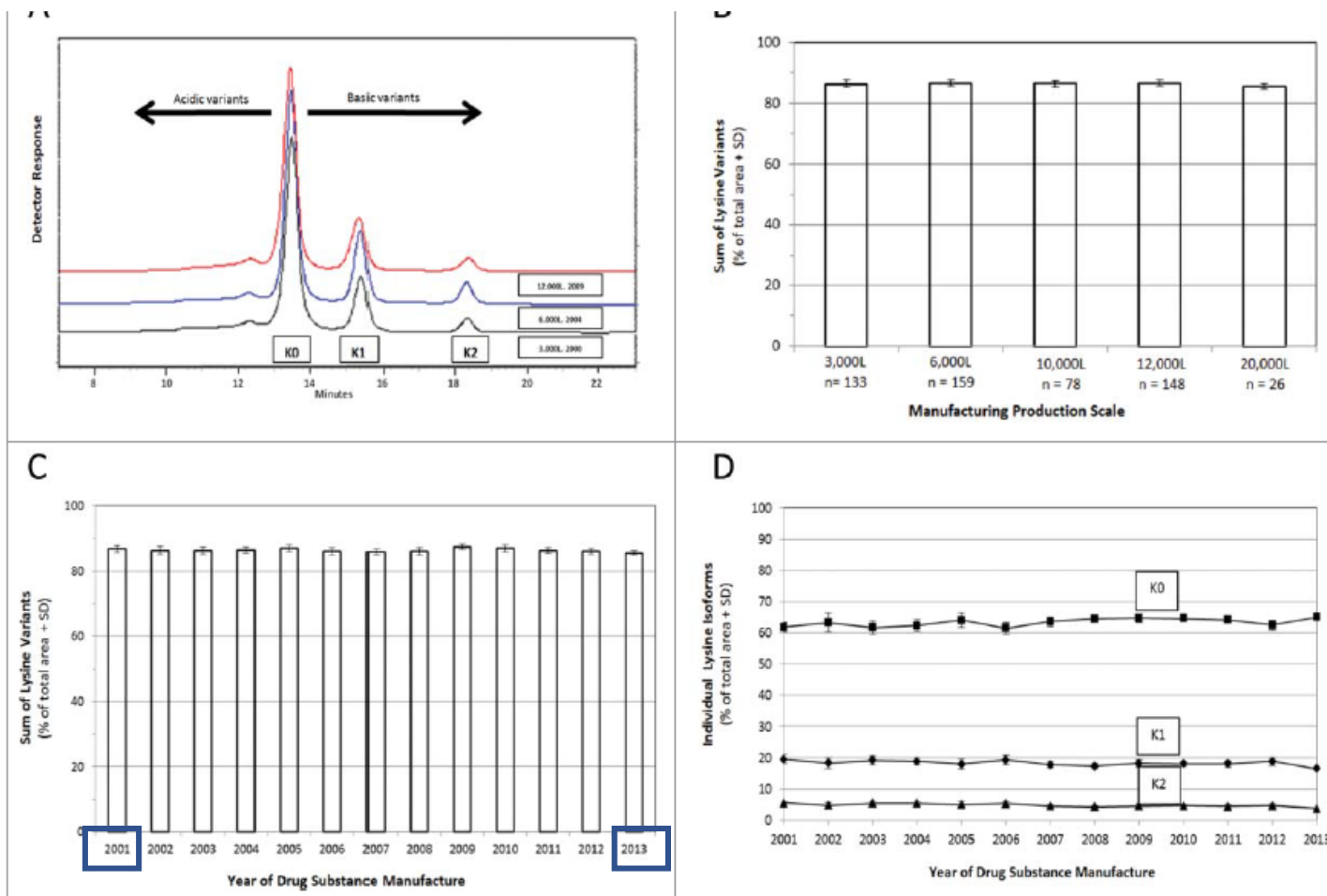
Charge variants Herceptin,  
trastuzumab

Aspirin contains between  
99.5 and 100.5 percent  
acetyl salicylic acid. USPNF

Bailey et al., 2018

<https://doi.org/10.1080/19420862.2018.1521131>

**Figure 2.** Trastuzumab charge heterogeneity is highly influenced by asparagine deamidation and aspartic acid isomerization. (a) The chromatogram resulting from our CVMS method is highly similar to the trastuzumab charge variant profile previously reported by Harris et al. showing amino acid site-specific charge variant peak assignments based on fractionation and peptide mapping data.<sup>23</sup> Delta masses are plotted for the seven major peaks. (b) Pathway for asparagine deamidation and aspartic acid isomerization. Deamidation of asparagine to aspartic acid results in a mass difference of +0.98 Da and changes the local  $pK_a$  from basic (8.8) to acidic (3.9) and results in earlier elution by cation exchange separation. Isomerization of aspartic acid results in zero mass change and does not directly result in any predictable change to pI.



**Consistency of quality attributes for the glycosylated monoclonal antibody Humira (adalimumab).**

**Full control over the manufacturing process!**

Tebbey et al., 2015

<http://dx.doi.org/10.1080/19420862.2015.1073429>

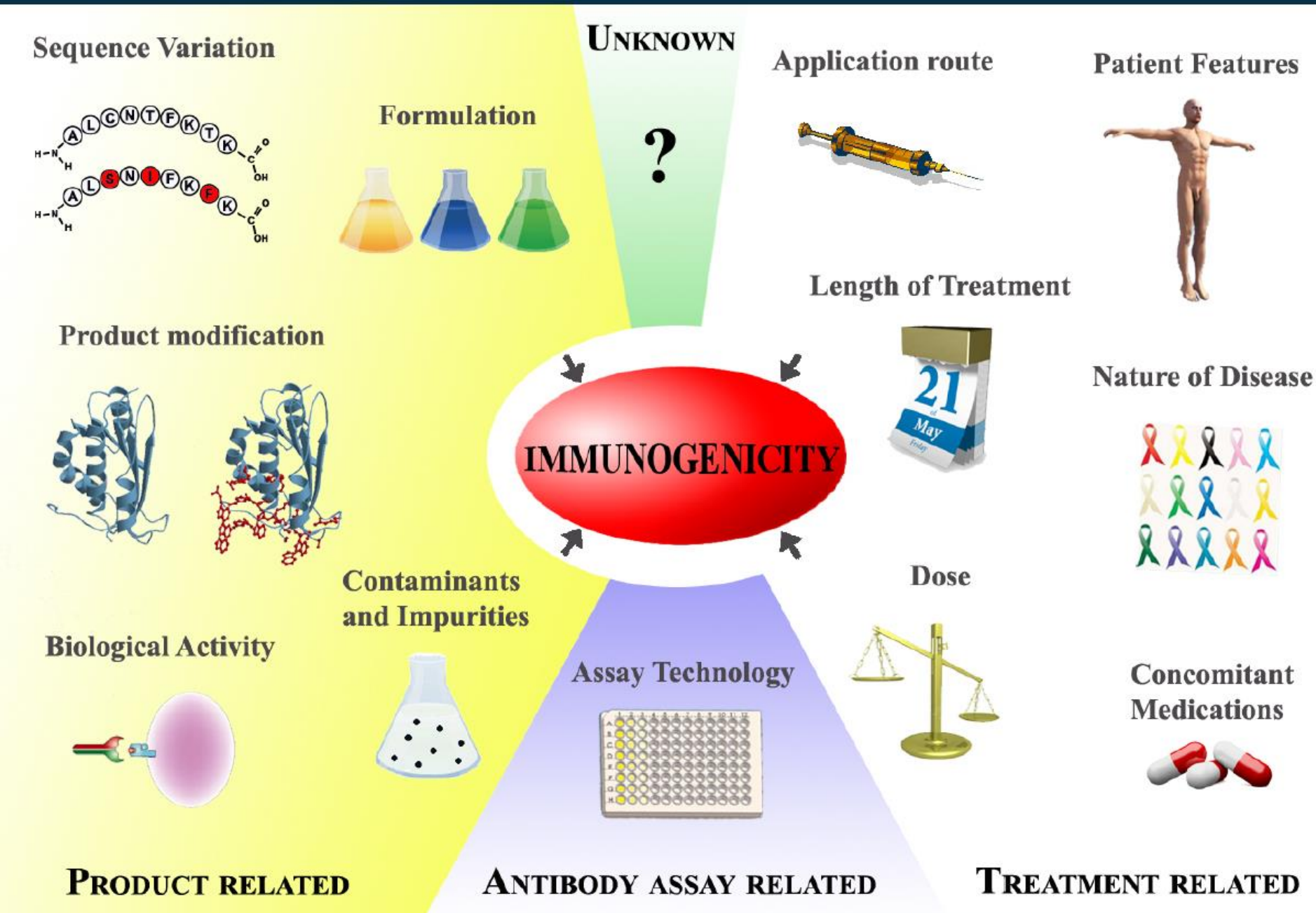
**Figure 1. Lysine Profiles of Humira®.** Chromatograms of representative batches are displayed in **A**; 3,000L (Black; 2000), 6,000L (Blue; 2004), 12,000L (Red; 2009). WCX-HPLC was performed on batches of Humira that derived from scale-up production (3,000 to 20,000 liters, **B**) and through each year 2001 to 2013 (**C** & **D**). The chromatograms illustrate the relative retention time and relative peak areas. The relative amount of the 3 C-terminal lysine isoforms (K0, K1, K2) was calculated from the chromatograms as a percent of total area. The mean sum of lysines of multiple batches per data point is presented with standard deviation (n = 544 batches for **B** and 525 total batches included for **C** and **D**). The number of drug substance batches evaluated per data point is displayed in **B**. For each year 2001 to 2013 (**C** and **D**), the number of batches included in each data point is 13, 38, 50, 44, 54, 40, 37, 34, 24, 34, 57, 52, 48, respectively. The mean of individual lysine species (K0 [square], K1 [diamond] & K2 [triangle]) is presented with standard deviation (**D**).

**Conclusion: diverse.....**

**but batch to batch consistency can be ensured**



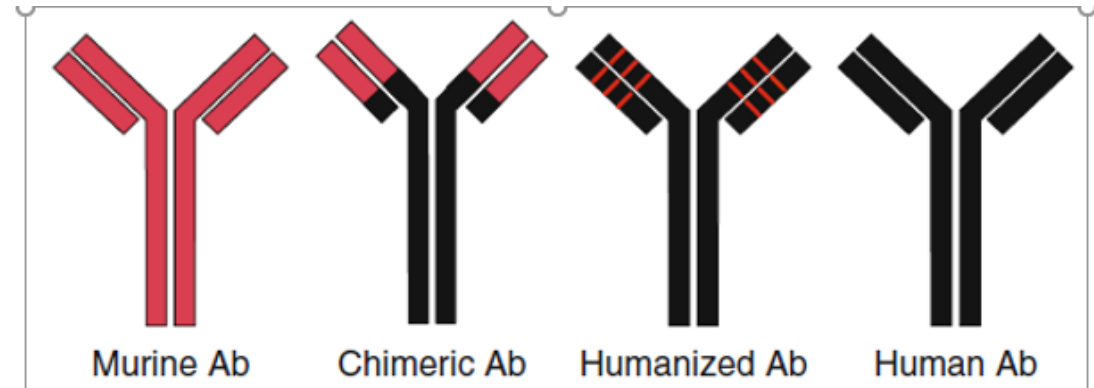
# Factors influencing protein immunogenicity



ADA: antidrug antibodies

Courtesy of Jiskoot/  
Schellekens  
(originally from 2002)

Examples of registered monoclonal antibodies  
and incidence of antibody formation reported  
in package insert



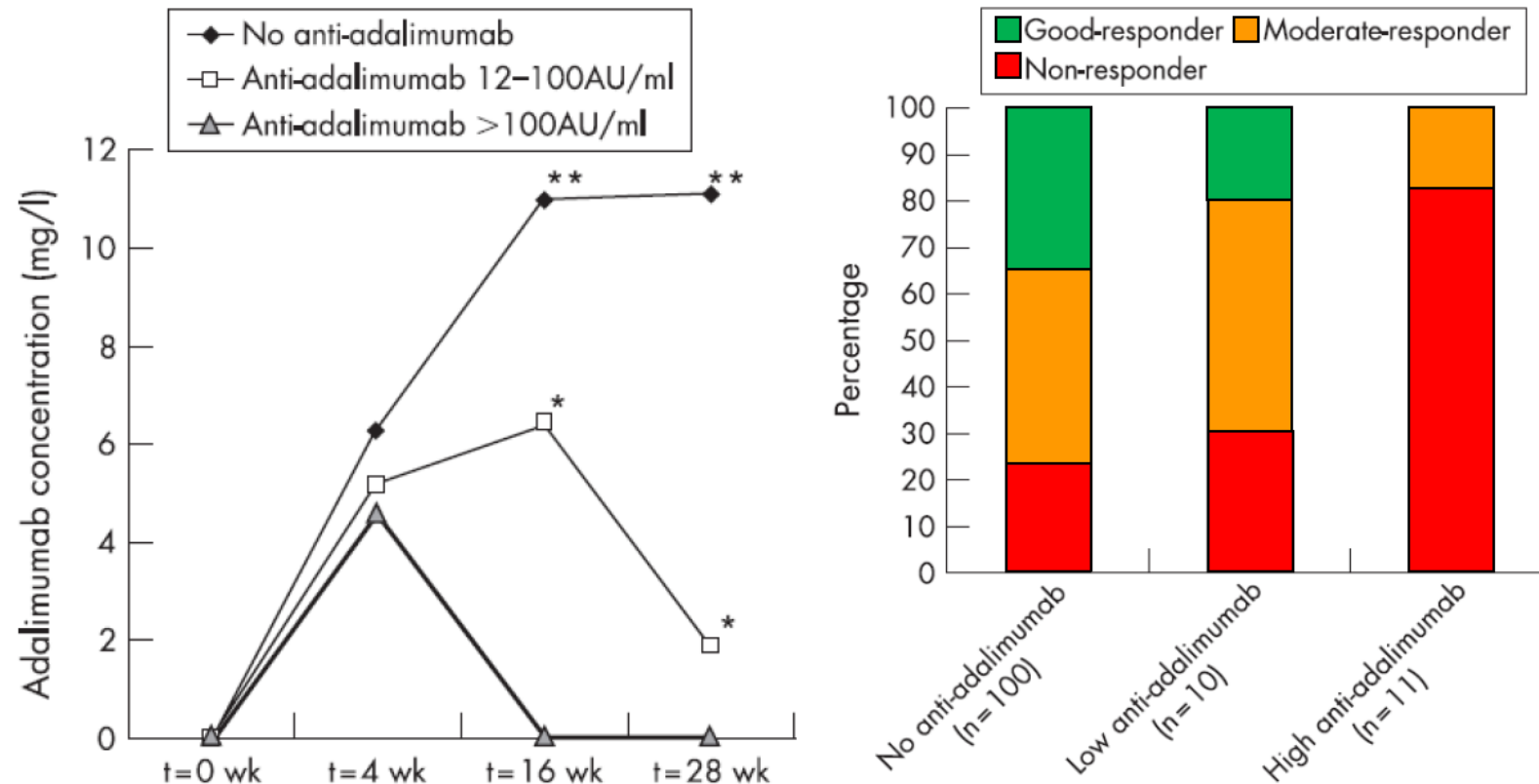
Trade name	Generic name	Type of MAb	Incidence antibody formation (%)
Humira	adalimumab	Human IgG1	12
Remicade	infliximab	Chimeric IgG1	24
Reopro	abciximab	Chimeric Fab	6
Herceptin	trastuzumab	Humanised IgG1	1



# What is the effect of ADA (anti-drug-antibodies)?

- Pharmacokinetic profile changes
- Therapeutic efficacy changes

# Anti-drug antibody levels in patients receiving adalimumab (Humira) negatively correlate with drug concentration in plasma and therapeutic effect



Bartelds et al.,  
Ann. Rheum. Dis. 66:921-926 (2007)

Median serum **trough** adalimumab concentrations (mg/l) over time in patients with anti-adalimumab antibody concentrations of 12–100 AU/ml and >100 AU/ml compared with patients without antiadalsimumab antibodies. A

Table 1 | **Clinical consequences of antibodies**

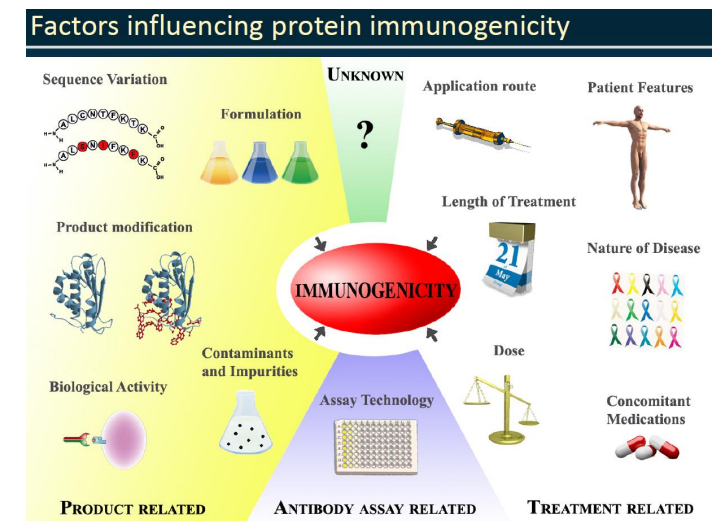
Consequence of antibody	Biopharmaceutical	References
Loss of efficacy	Insulin	5
	Streptokinase	6
	Staphylokinase	7
	ADA	63
	Salmon calcitonin	9
	Factor VIII	3
	IFN- $\alpha$ 2	14,26
	IFN- $\beta$	15
	IL-2	23
	GnRH	64
	Denileukin diftitox	65
	HCG	66
	GM-CSF/IL-3	67
Enhancement of efficacy	Growth hormone	2
Neutralization of native protein	MDGF	45
	EPO	13,43

ADA, adenosine deamidase; EPO, erythropoietin; GM-CSF, granulocyte-macrophage colony-stimulating factor; GnRH, gonadotropin-releasing hormone; HCG, human chorionic gonadotropin; IFN- $\alpha$ 2, interferon- $\alpha$ 2; IL-2, interleukin-2; MDGF, megakaryocyte-derived growth factor.

# Immunogenicity of biologicals

Factors that will be discussed below:

- Structural properties
- Assays
- Formulation
- Other.....only mentioned
- Handling



# Structural properties

- Degree of “non-self”: biopharmaceuticals of bacterial and plant origin (streptokinase, staphylokinase, asparaginase)
- Glycosylation
  - Protection of antigenic sites (GM-CSF)
  - Influence on solubility (Interferon beta)

# Factors influencing immunogenicity

Assays/

FDA Guidance Document....

GUIDANCE DOCUMENT

## **Immunogenicity Testing of Therapeutic Protein Products –Developing and Validating Assays for Anti-Drug Antibody Detection**

FEBRUARY 2019

.... ‘Specifically, this document includes guidance regarding the development and validation of screening assays, confirmatory assays, titration assays, and neutralization assays.’.....

2019



# Factors influencing immunogenicity

Formulation: the interferon  
alpha 2 and epo case

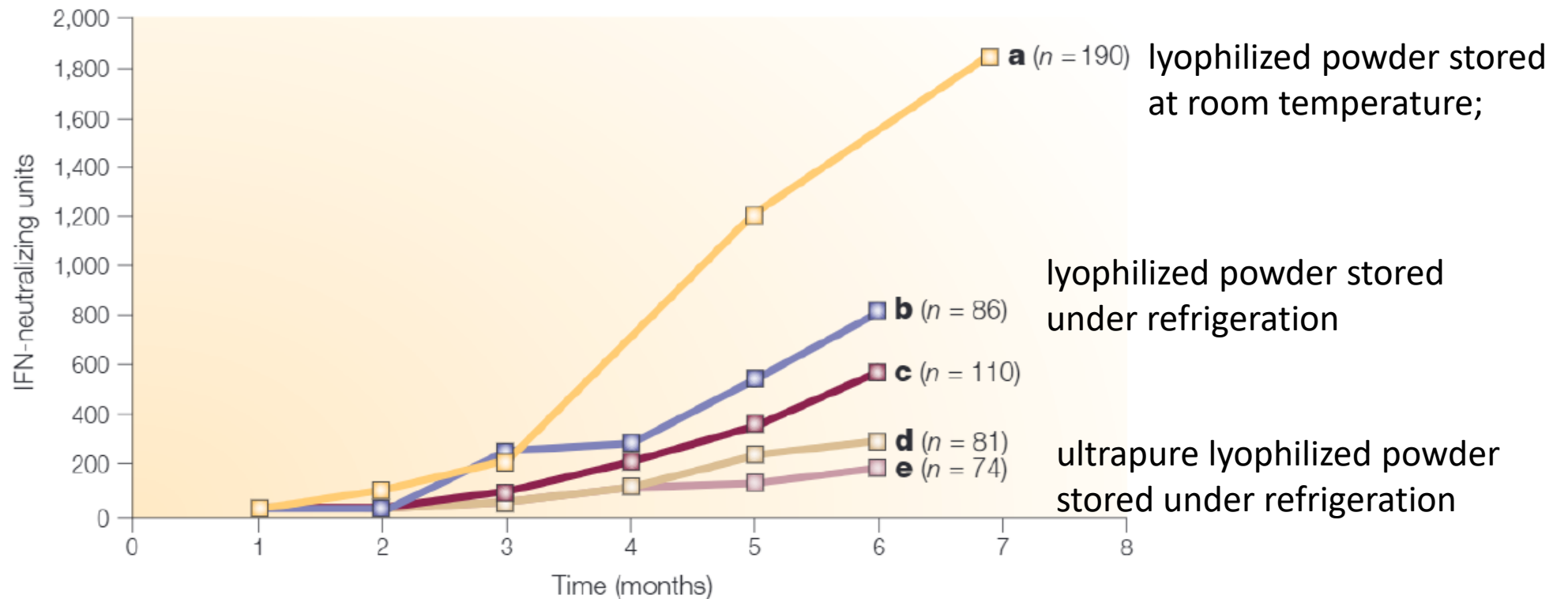
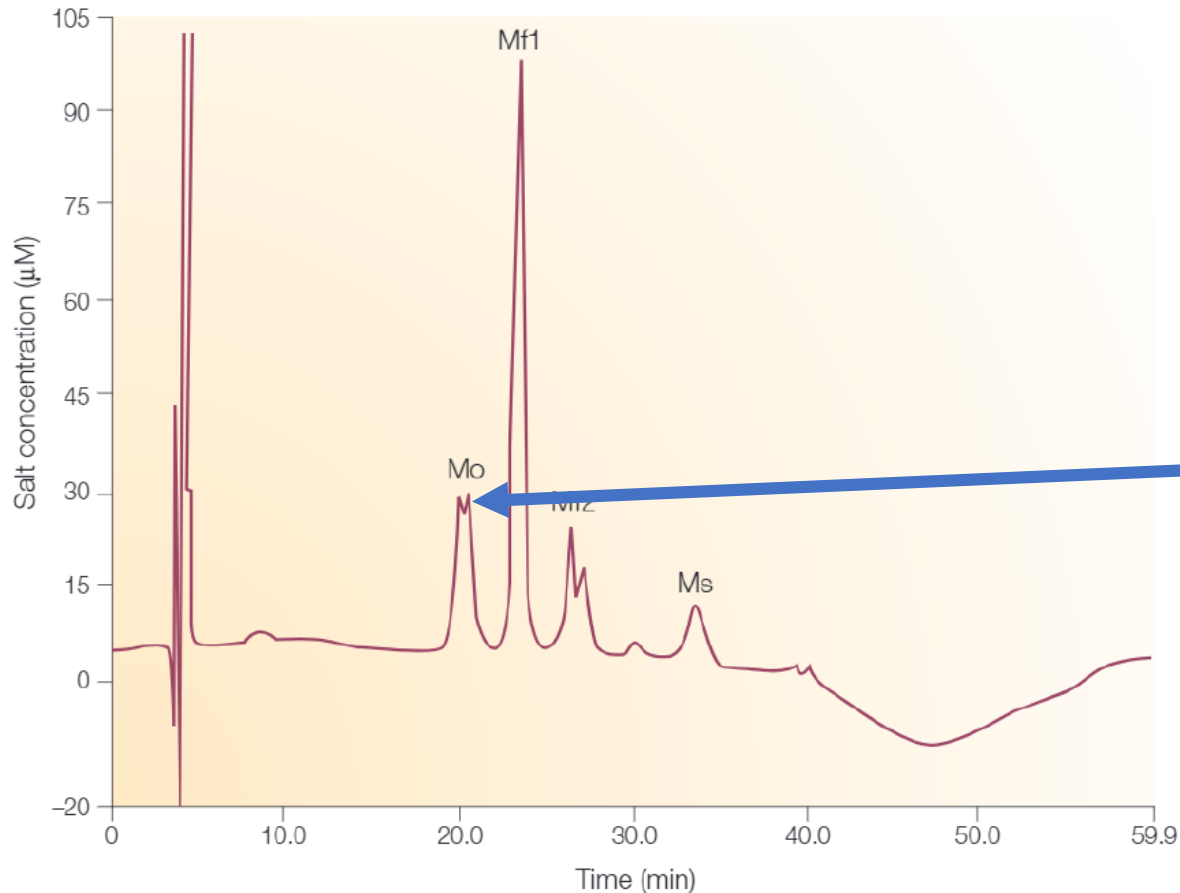


Figure 2 | **Immunogenicity of different human IFN- $\alpha$ 2A preparations.** The immunogenicity of human interferon- $\alpha$ 2A (IFN- $\alpha$ 2A) is highly dependent on the formulation and storage conditions, as shown here by the mean-population antibody titre in patients treated with different IFN preparations: **a** | lyophilized powder stored at room temperature; **b** | lyophilized powder stored under refrigeration; **c** | human serum albumin (HSA)-containing liquid stored under refrigeration; **d** | ultrapure liquid formulation (HSA-free) stored under refrigeration; and **e** | ultrapure lyophilized powder stored under refrigeration. IFN-neutralizing units; arbitrary unit of neutralizing activity; *n*, number of patients. Reproduced with permission from REF. 26 © (1997) Mary Ann Liebert, Inc.

## HPLC analysis of a highly immunogenic batch of Interferon (IFN)-alfa2A



This oxidized form is more immunogenic than the non-oxidized form (Mf1), and it also contributes to the formation of aggregates, which greatly enhance immunogenicity

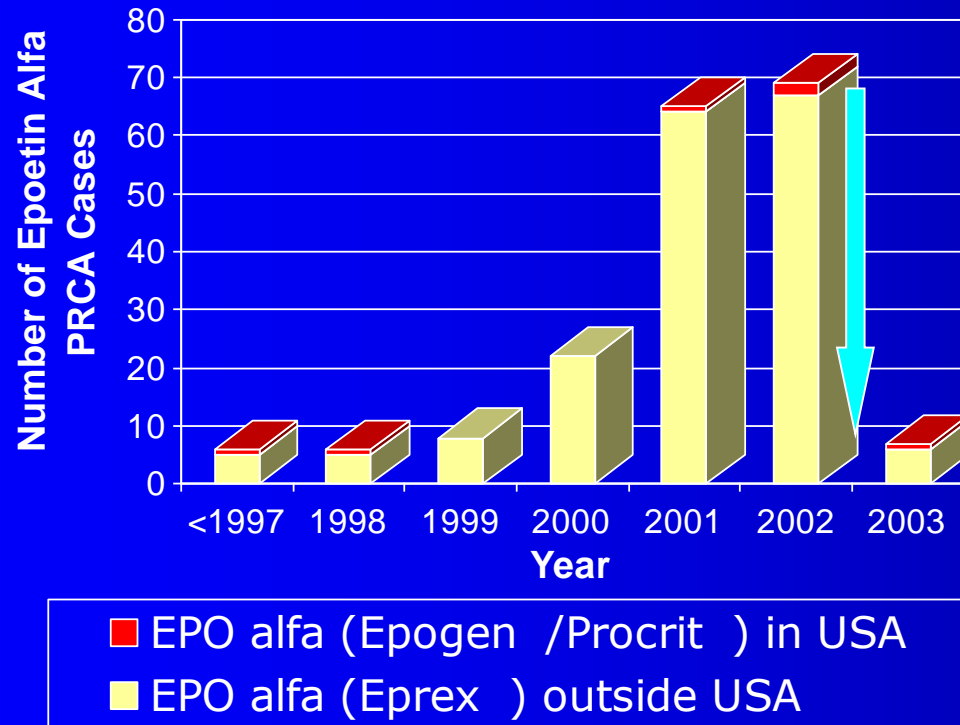
Figure 3 | **RP-HPLC of a highly immunogenic batch of interferon (IFN)-α2A.** The chart shows that this sample contains high levels of the oxidized form (Mo) of IFN-α2A. This oxidized form is more immunogenic than the non-oxidized form (Mf1), and it also contributes to the formation of aggregates, which greatly enhance immunogenicity. Mf2 is the acetylated form, and Ms is the form with only a single disulphide bridge. RP-HPLC, reversed-phase high-performance liquid chromatography. Reproduced with permission from REF. 28 © (1997) Mary Ann Liebert, Inc.

# Main Stabilizers Used in Epoetin Formulations

<b>Epogen®/Procrit®</b> <i>(US)</i>	<b>Eprex®</b> <i>(pre 1998)</i>	<b>Eprex®</b> <i>(post 1998)</i>
HSA	HSA	Polysorbate 80
	↓	Glycine
Because of BSE, mad cow disease” HSA had to be removed		

# Anti-epoetin antibody-related pure red cell aplasia cases (PRCA)

Removal of human serum albumin stabilizer  
from epoetin alfa (outside USA)



Courtesy of Schellekens et al.

# Other factors influencing immunogenicity

- Route of administration
  - S.c. > i.m. > i.v.
  - Type of disease
- Genetic background of patients
  - MHC?
- Unknown factors.... See later.

So, THE immunogenicity of a protein does not exist

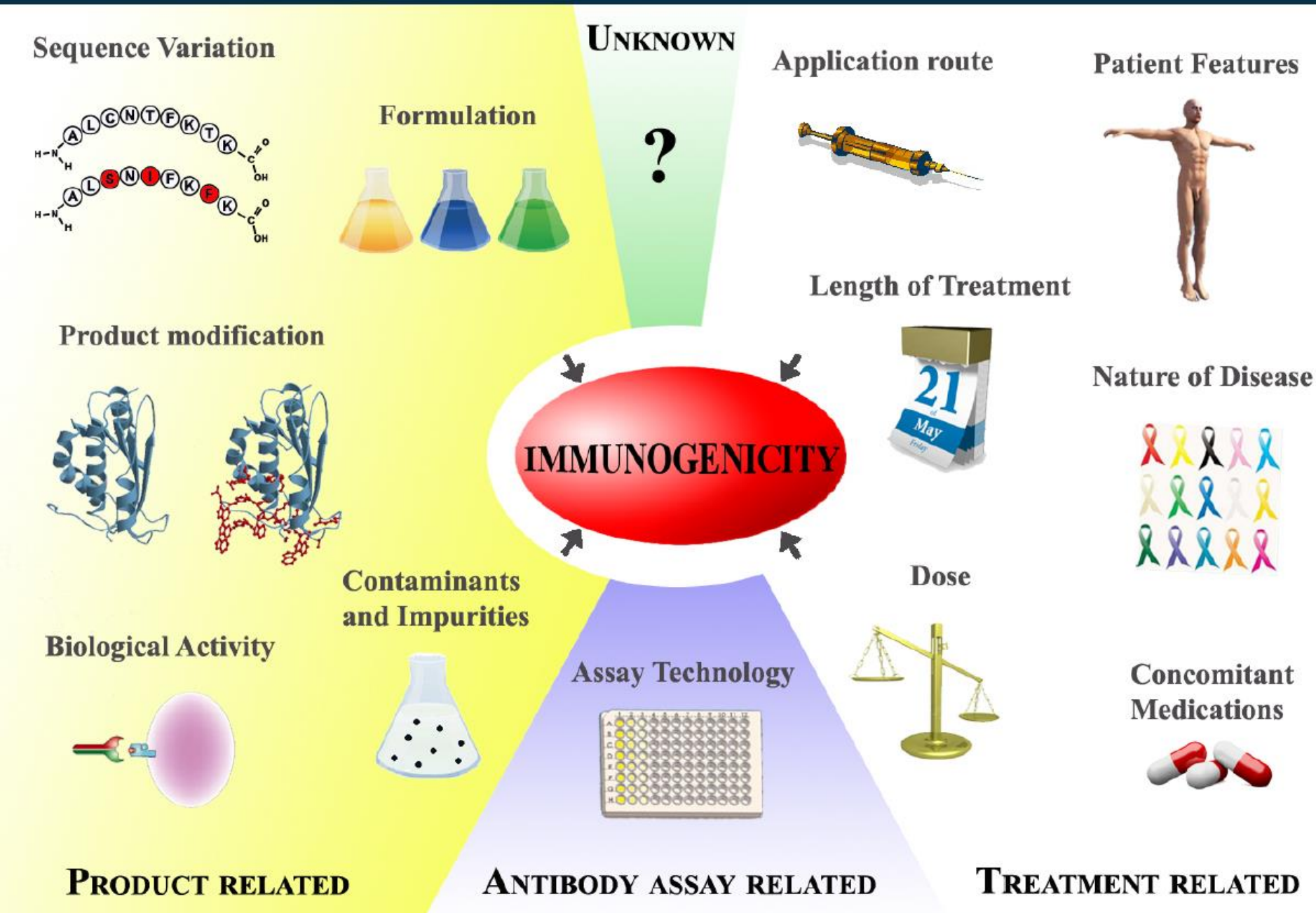
Product	Characteristics	Indication	Immunogenicity (%)
Rituxan/rituximab	chimeric / CD20	NHL	0
Rituxan/rituximab	chimeric / CD20	SLE	65
Rituxan/rituximab	chimeric / CD20	PSS	27



# Prediction of immunogenicity

- Purity of the product
- Epitope analysis
- Reaction with patient sera
- Animal experiments
  - ‘Conventional’ animals (relative immunogenicity)
  - Non-human primates
  - Immune tolerant transgenic mice

# Factors influencing protein immunogenicity



**HANDLING!**  
Aggregate formation

*Review Article*

## **Structure-Immunogenicity Relationships of Therapeutic Proteins**

Suzanne Hermeling,<sup>1,2,3</sup> Daan J. A. Crommelin,<sup>1</sup> Huub Schellekens,<sup>2</sup> and Wim Jiskoot<sup>1</sup>

*The AAPS Journal* 2006; 8 (3) Article 59 (<http://www.aapsj.org>).

*Themed Issue: Proceedings of the 2005 AAPS Biotec Open Forum on Aggregation of Protein Therapeutics*  
*Guest Editor - Steve Shire*

### **Effects of Protein Aggregates: An Immunologic Perspective**

*Submitted: March 3, 2006; Accepted: May 24, 2006; Published: August 4, 2006*

Amy S. Rosenberg<sup>1</sup>

Review

## **Minimizing immunogenicity of biopharmaceuticals by controlling critical quality attributes of proteins**

Miranda M.C. van Beers and Muriel Bardor

Biotechnol. J. 2012, 7

**(over-)simplified summary:**

**protein aggregates are immunogenic**

# Proteins and interfaces..... aggregate formation..... Immunogenicity up

From Li, 2019: aggregate formation...

*Shaking*.....adherence to water–air  
interfaces

*Freeze-thaw*, e.g water-ice interfaces

Examples.... from Herceptin, insert....

‘SWIRL the vial gently to aid reconstitution. DO NOT SHAKE  
Following reconstitution....DO NOT FREEZE.’

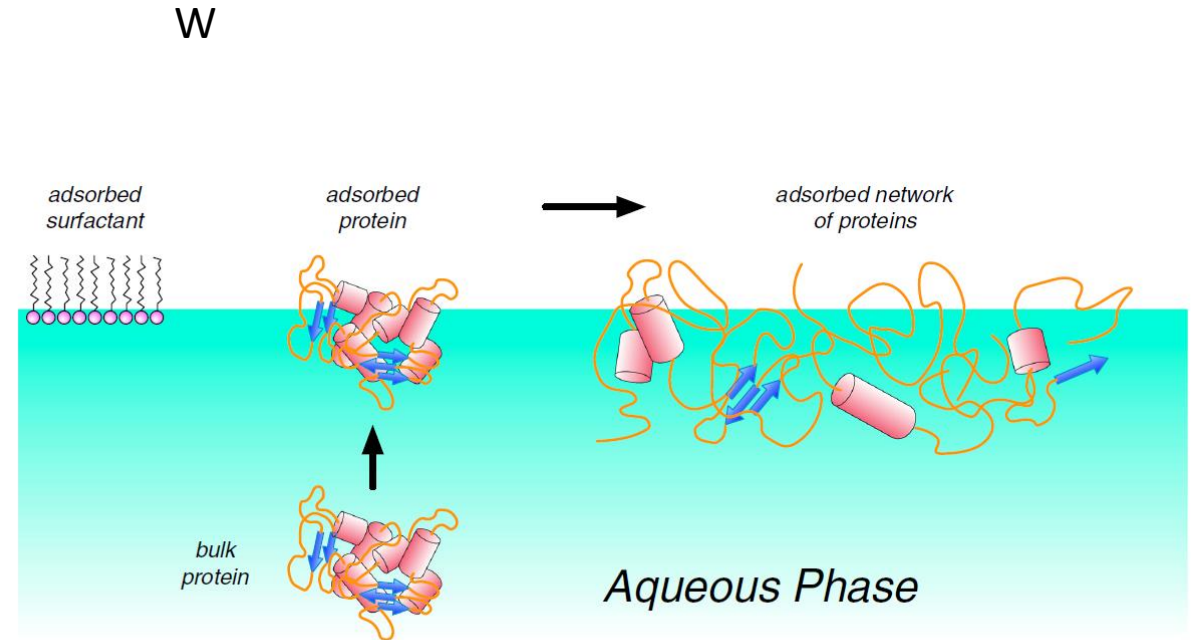


Fig. 1. Protein interfacial behavior. Proteins from the bulk solution can adsorb to the interface leading to an adsorbed network of proteins. Surfactants can mitigate this adsorption. Modified from Morris *et al.* (1)

# Formulation excipients – examples

How can we protect biologicals from aggregating through formulation design?

Excipient class	Function	Examples
Buffers	pH control, tonicity	Histidine, phosphate, acetate, citrate, succinate
Salts	Tonicity, stabilization, viscosity reduction	Sodium chloride
Sugars <sup>a</sup> , polyols	Tonicity, stabilization, cryoprotection, lyoprotection <sup>b</sup> , bulking agent <sup>b</sup> , reconstitution improvement <sup>b</sup>	Sucrose, trehalose, mannitol, sorbitol
Surfactants	Adsorption prevention, solubilization, stabilization, reconstitution improvement <sup>b</sup>	Polysorbate 20, polysorbate 80, poloxamer 188
Amino acids	Stabilization, viscosity reduction, tonicity, pH control, bulking agent <sup>b</sup>	Arginine, glycine, histidine, lysine, proline
Anti-oxidants	Oxidation prevention	Methionine, sodium edetate
Preservatives <sup>c</sup>	Bacterial growth prevention	m-cresol, benzyl alcohol, phenol

Adapted from Weinbuch et al. (2018)  
<sup>a</sup>Only non-reducing sugars  
<sup>b</sup>For freeze-dried products  
<sup>c</sup>Multi-dose containers

**Table 5.6** ■ Common excipients in protein drug products

Crommelin, D.J.A., Hawe, A., and Jiskoot, W. (2019) Formulation of biologicals including biopharmaceutical considerations. In: Pharmaceutical Biotechnology, 5th edition (D.J.A. Crommelin, R.D. Sindelar, and B. Meibohm, Eds.), Springer Nature Switzerland AG, pp. 83-103



# Recommendations for storage and handling of biopharmaceuticals in hospitals

Improper storage and handling can affect the integrity of a biopharmaceutical product that is administered to a patient. Proper storage and handling involves not only maintaining the cold chain, but also avoiding shaking and exposure to light, and using good sterile technique. Ensuring cold-chain management as part of medication integrity is thus of the utmost importance. Therefore, the International Working Group formulated the following recommendations.

remains outside the refrigerator must be kept as short as possible

- Temperature-sensitive biopharmaceuticals should be transported between the hospital pharmacy and the ward in insulated transit packs
- Validated, insulated carry packs should be available for all patients who need to transport biopharmaceuticals
- Biopharmaceuticals should be protected from shaking and exposure to light.
- Prior to injection, biopharmaceuticals should be allowed to warm gradually to

involved in storing biopharmaceuticals must be aware of the need for good stock control and rotation

## Standards

- Policies and procedures defining roles and responsibilities are needed for all stages of cold-chain management
- Routine audits and quality assurance are necessary to ensure cold-chain integrity from the hospital warehouse to the patient
- All facilities and transport systems must be validated to ensure cold-chain integrity



biopharmaceuticals

- All manufacturers of biopharmaceuticals should produce product-specific information tools on the proper storage and handling of their products
- Professional organizations should be proactive in education of newly qualified pharmacists and continuing education of established pharmacists

## Distribution

- The time a biopharmaceutical product

available for all situations in the hospital in which the cold chain is broken

- Hospital refrigerators outside the pharmacy should at least be equipped with a minimum-maximum thermometer. These thermometers should be read at least once daily
- Patients receiving long-term treatment with biopharmaceuticals should be encouraged to have high-quality refrigerators
- All healthcare professionals and patients

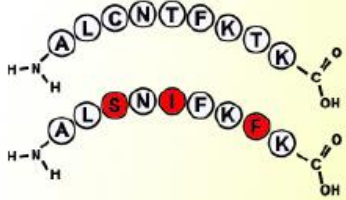
4 October, 2002.

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 Allan Kar, University College Hospitals London Trust, London, UK  
 Tom Paton, Sunnybrook and Women's College Health Science Centre, Toronto, Canada  
 Suzie Pearce, St George's Hospital, London, UK  
 Richard Plumridge, Pharmaceutical Healthcare Consulting, Churchlands, and Consultant, Hollywood Private Hospital, Nedlands Western Australia  
 Huub Schellekens, Central Laboratory Animal Institute, Utrecht University, Utrecht, the Netherlands  
 Giovanna Sroccaro, Ospedale Policlinico G.B. Rossi, Verona, Italy  
 Roger Tiedree, St George's Hospital, London, UK



# Factors influencing protein immunogenicity

## Sequence Variation



## Formulation



## UNKNOWN

?

## Application route

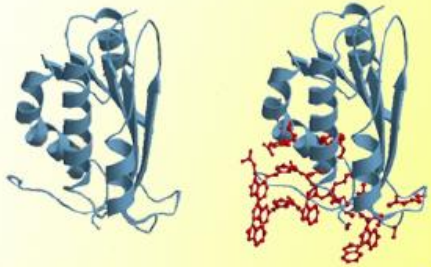


## Patient Features



Immunogenicity:  
a multifaceted  
challenge!

## Product modification



## Length of Treatment



## Nature of Disease



**IMMUNOGENICITY**

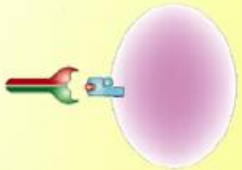
## Dose



## Concomitant Medications



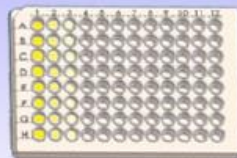
## Biological Activity



## Contaminants and Impurities



## Assay Technology



**PRODUCT RELATED**

**ANTIBODY ASSAY RELATED**

**TREATMENT RELATED**

Courtesy of Jiskoot/  
Schellekens