#### **Classification of Mental Disorders**

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#### Classification

the activity of ordering or arrangement of objects into groups or sets on the basis of their relationships"

#### Classification

"procedure for constructing groups or categories and for assigning entities (disorders or persons) to these categories on the basis of their shared attributes or relations"

Millon T. (1991) J. Abnorm. Psychol., 100: 245-261

# The fundamental purpose of diagnosis and classification

To isolate a group of discrete disease entities, each of which is characterized by a distinct pathophysiology and/or etiology

Ideally: all diseases in medicine would be defined in terms of etiology

In practice: for most illnesses, we do not know or understand the specific etiology

#### **Units of Classifications**

- > Diseases?
- Disorders?
- > Syndromes?

### In psychiatry

most of the disorders or diseases diagnosed are syndromes

#### syndroma

collections of symptoms that tend to appear together

and that seem to have a characteristic course and outcome

### Purposes of diagnosis in psychiatry

- help to **simplify** our thinking and reduce the complexity of clinical phenomena
- facilitate communication between clinicans (concisely summarizes information for all other clinicians)
- help to predict the outcome of the disorder
- > decide on an appropriate treatment
- assist in the search for pathophysiology and etiology

## Other purposes of diagnosis

Diagnoses are used

- to monitor treatment
- to make decisions about insurance coverage
- by attorneys in malpractice suits and in other litigation
- by health care epidemiologists to determine the incidence and prevalence of various diseases throughout the world

### **History**

- in 5th century BC Hippocrates introduced the terms mania and hysteria as forms of mental illness
- > the first U.S. classification was introduced in 1869
- in 1952 the American Psychiatric Association published the first edition of DSM (DSM I)
- Five editions have been published since then, the latest: DSM-TR in 2000

# Karl Jaspers (1883-1969)

#### Classification of mental disorders

- True diseases (like general paresis), which have clear boundaries among themselves and with normality.
- Circles (like manic-depressive insanity and schizophrenia), which have clear boundaries with normality but not among themselves.
- Types (like neuroses and abnormal personalities), which do not have clear boundaries either among themselves or with normality.

### Ways of classification

- Categories based on mathematical-statistical analyses (Feighner, ICD, DSM, Kendler, McIntosh, McGorry)
- Natural classification (Kahlbaum, Leonhard, Sznyezsnyevszkij)

# The two most important current psychiatric classifications

**DSM-IV-TR** (Diagnostic and Statistical Manual of the American Psychiatric Association, Text Revision, Fourth Edition, **2000**)

 ICD-10 (International Statistical Classification of Diseases and Related Health Problems, Tenth Edition, 1992)

 All categories used in DSM-IV-TR are found in ICD-10, but not all ICD-10 categories are in DSM-IV-TR.

# International Classification of Diseases (ICD)

- developed by the World Health Organization
- > used in Europe, Africa and Asia
- comprehensive classification of all "diseases and related health problems"
- basis of obligatory report to WHO on morbidity data

# International Classifications of Psychiatric Disorders

WHO publishes volumes since 1900 for statistical purposes: ICD (International Classification of Diseases)

- ICD -6 (1948): mental disorders subsection
- 2. ICD -8 (1968): few-sentence descriptions organic-functional

} dichotomy

psychotic-neurotic

# DSM (Diagnostic and Statistical Manual of Mental Disorders)

- Developed by the American Psychiatric Assotiation
- is the official psychiatric coding system used in the United States and for research purposes worldwide

# Diagnostic and Statistical Manual of Mental Disorders

o DSM-I. 1952.

- Based on the codes of the psychiatry chapter of the ICD-6
- Was heavily under the influence of Adolf Meyer. It referred to most conditions as "reactions"

#### DSM-II.

- 1968
- Aim: objective clinical descriptions instead of theoretical conceptions
- Result: partial
   Clinically similar syndroms differ
   according to the *hypothetical* etiology

#### **Multiaxial Evaluation**

- characterizes each patient in multiple ways
- > evaluates all aspects of the patient's health and social background

### Multiaxial system of DSM-IV-TR

- Axis I. Clinical disorders and other disorders that may be a focus of clinical attention
- Axis II. Personality disorders and mental retardation
- > Axis III. General medical conditions
- Axis IV. Psychosocial and environmental problems
- Axis V. Global Assessment of Functioning (GAF) Scale

#### AXIS I.

Disorders usually diagno-

sed in infancy,

childhood,

or adolescence

Delirium, dementia and

other cognitive disorders

Mental disorder due to a

general medical condition

Substance-related disorder

Schizophrenia and other

psychoses

Mood disorders

Anxiety disorders

Somatoform disorders

Factitious disorders

Dissociative disorders

Sexual and gender identity

disorders

Eating disorders

Sleep disorders

Impulse-control disorders

Adjusment disorders

Other conditions that may

be focus of clinical

attention

#### **AXIS II.**

#### Personality disorders and mental retardation

Paranoid

Schizoid

Schizotypal

Personality disorder not otherwise specified

Antisocial

Borderline

Histrionic

Narcissistic

**Avoidant** 

Dependent

Obsessive-compulsive

Mental retardation

#### **AXIS III.: General medical conditions**

Infectious and parasitic diseases Neoplasms Endocrine, nutritional, and metabolic diseases and immunity disorders Diseases of the blood and blood-forming organs Diseases of the nervous system and sense organs Diseases of the circulatory system Diseases of the respiratory system Diseases of the digestive

system

Diseases of the genitourinary system Complications of pregnancy, childbirth, and the puerperium Diseases of the skin and subcutaneous tissue Diseases of the musculoskeletal system Congenital anomalies Certain conditions originating in the perinatal period Symptoms, signs, and ill-defined conditions Injury and poisoning

# AXIS IV. Psychosocial and environmental problems

Problems with primary support group

Problems related to the social environment

Educational problems

Occupational problems

Housing problems

Economic problems

Problems with access to health care services

Problems related to interaction with the legal system/crime

Other psychosocial and environmental problems

# AXIS V. Global Assessment of Functioning (GAF) Scale

- 100: Superior functioning in a wide range of activities. No symptoms.
  - 60: Moderate symptoms, or moderate difficulty in social, occupational,OR school functioning
  - 10: Persistent danger of severely hurting self or others OR persistent inability to maintain minimal personal hygiene

### **Current classification systems**

> "descriptive"

define the pathology in terms of clinical signs or symptoms and formulate them as operational diagnostic criteria

# Descriptive approach

- atheoretical with regard to causes
- attempts to describe the manifestation of the mental disorders
- rarely attempts to account for how the disturbances come about

# Diagnostic criteria

- a list of features that must be present for the diagnosis to be made
- such criteria increase the reliability of the process of diagnosis

# Systematic description

- Specific age-, culture-, and gender-related features
- Prevalence, incidence, and risk
- Course
- Complications
- Predisposing factors
- Familial patterns
- Differential diagnosis
- Laboratory findings and assotiated physical examinationsigns and symptoms

#### BUT DSM-IV-TR IS NOT A TEXTBOOK

# Diagnostic uncertainties

> when the information is insufficient

when the patient's clinical presentation and history do not meet the full criteria of a prototypical category

### Special forms of diagnosis

- Multiple diagnosis (principal diagnosis)
- "Provisional" diagnosis
  - -uncertainty
  - -diagnosis depends on the duration of illness
- "not otherwise specified" (NOS)
  - the symptomps are below the diagnostic threshold
  - there is an atypical or mixed presentation
  - the cause is uncertain (i.e. whether it is primary or secondary)

# Severity of disorder

- > Mild
- > Moderate
- > Severe
- > Partial remission
- > Full remission

### Advantages of the DSM system

- has improved the reliability of diagnosis
- has clarified the diagnostic process and facilitated history taking (structured approach is an excellent teaching tool)
- has clarified and facilitated the process of differential diagnosis (because it is so explicit)

# Disadvantages of the DSM system

- the available data are often inadequate, selection is often arbitrary
- diagnosis is no more than a checklist and we forget about the patient as a person
- > it sacrifices validity for reliability

#### What is DSM?

- DSM is published by the American Psychiatric Association (APA)
- contains descriptions, symptoms, and other criteria for diagnosing mental disorders
- these criteria for diagnosis provide a common language among clinicians – professionals who treat patients with mental disorders.

#### What is DSM?

 by clearly defining the criteria for a mental disorder, DSM helps to ensure that a diagnosis is both accurate and consistent;

for example: a diagnosis of schizophrenia

- is consistent from one clinician to another
- means the same thing to both of these clinicians, whether they reside in the U.S. or other international settings.

#### How is DSM used?

appropriately using the diagnostic criteria found in DSM requires

- clinical training
- thorough evaluation and examination of an individual patient.

#### Another important role of DSM

### establish criteria for diagnosis can be used in research on psychiatric disorders

only by having consistent (reliable) diagnoses can researchers

- compare different treatments for similar patients,
- determine the risk factors and causes for specific disorders
- determine their incidence and prevalence rates.

#### DSM disorders are also used

- as the basis for treatment indications by the FDA or and for clinical Practice Guidelines.
- DSM diagnoses are linked to the diagnostic codes listed in the International Classification of Diseases used by clinicians to report diagnoses to insurers for reimbursement, and to public health authorities for causes of illness and death.

#### Information about treatment?

- DSM contains no recommendations on what that course of treatment should be
- determining an accurate diagnosis is a first step for the clinician in defining a treatment plan for a patient
- DSM is certainly important to those who provide treatment to patients with mental illness, because accurate diagnosis leads to appropriate treatment.

#### Why is DSM being revised?

 DSM has been periodically reviewed and significantly revised since the publication of DSM-I in 1952. Particularly over the past two decades, there has been a wealth of new information in neurology, genetics and the behavioral sciences that dramatically expands our understanding of mental illness.

#### Why is DSM being revised?

- o researchers have generated a wealth of knowledge about the prevalence of mental disorders, how the brain functions, the physiology of the brain and the lifelong influences of genes and environment on a person's health and behavior.
- the introduction of scientific technologies, ranging from brain imaging techniques to sophisticated new methods for mathematically analyzing research data, have given us new tools to better understand these illnesses.

#### www.dsm5.org

the draft revisions are now available for public review and comment

for more information about the development process visit the site www.dsm5.org

One challenge in accurately diagnosing mental disorders is to evaluate the range of symptoms and other factors that appear in a single patient. In the earlier versions of DSM, disorders were described and arranged by category, with a specific list of symptoms for each mental illness

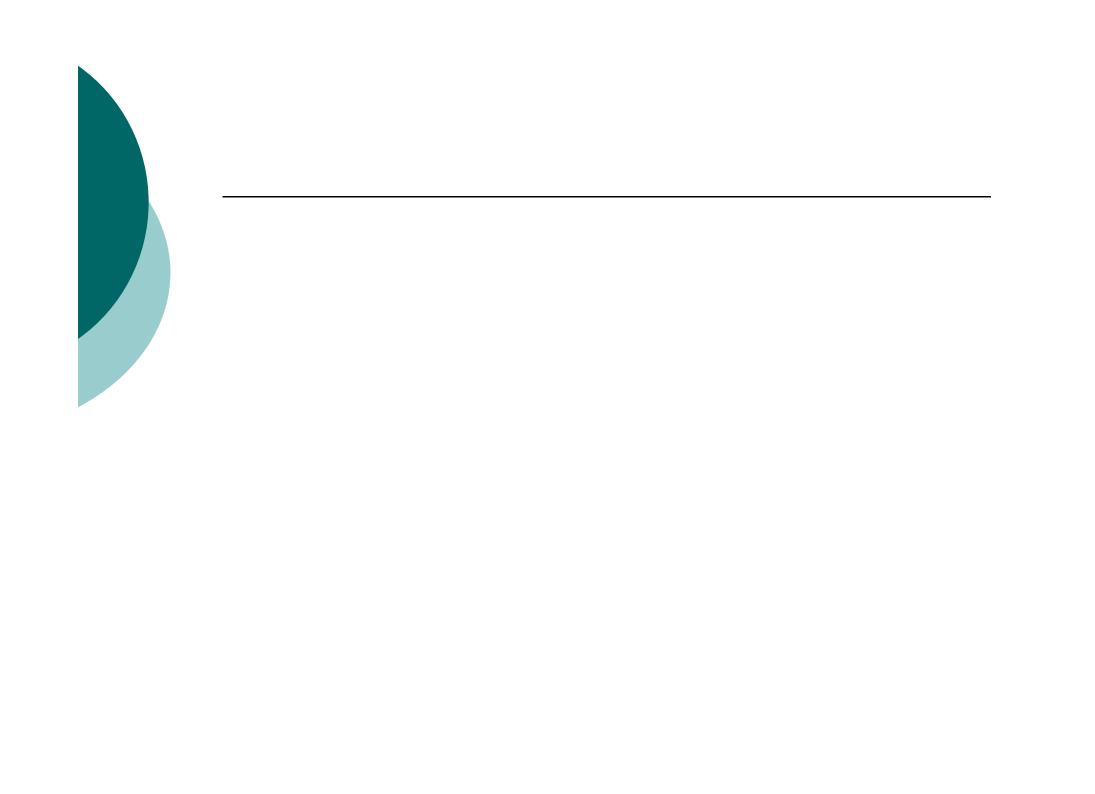
- The categorical syndromes do not always fit with the reality of the range of symptoms that individuals' experience.
- As the criteria for diagnosis are "yes/no" (does the individual have this disorder or not?), in most cases there is no method in DSM-IV to account for the severity of the disorder, and thus no specified way to determine if the patient is improving with treatment.

- The DSM-5 Work Groups are now considering an additional way to help the clinician capture the symptoms and severity of mental illnesses - by using dimensional assessments.
- These would allow clinicians to rate both the presence and the severity of the symptoms, such as "very severe," "severe," "moderate" or "mild". By this rating they could also track a patient's progress on treatment, allowing a way to note improvements even if the symptoms don't disappear entirely.

For example, information about

- depressed mood
- anxiety level
- sleep quality
- substance use, etc.

would be important for clinicians to know regardless of the patient's diagnosis



### Methods of natural classification

- Observation behavioural symptoms are put to the foreground
- Evaluation of general image ("Gestalt" Klaus Conrad)
- Empirical generalisation of descriptive clinical psychiatry

These methods are the most important features of the Wernicke-Kleist-Leonhard pathway

#### Kleist, Leonhard, Angyal, Pethő

- Orientation to brain pathology
- Schizophrenia is a heterogeneous illness, with various subgroups

#### **Karl Leonhard** (1904-1988)

# 19<u>57 The classification of the</u> Endogenous Psychoses (The Aufteilung der endogenen Psychosen)

On the basis of many years of longitudinal research on outcome and family history he demonstrated that **unipolar** ("monopolar") depression and **manic-depressive illness** are different diseases.

#### Wernicke-Kleist-Leonhard pathway

Classifying psychotic illness not on the basis of outcome (as Kraepelin did), but on the basis of hypothetical underlying neurological impairment or common family history.

#### **Unit of illness**

oSame etiology

- Same cross-sectional image
- oSame course
- oSame outcome

Same histological alteration

#### **About the Leonhard system**

#### Frank Fish:

The Leonhard system is not easy to use."

The system called for the **very careful observation** of the patients and the ability to discern small differences among the subcategories.

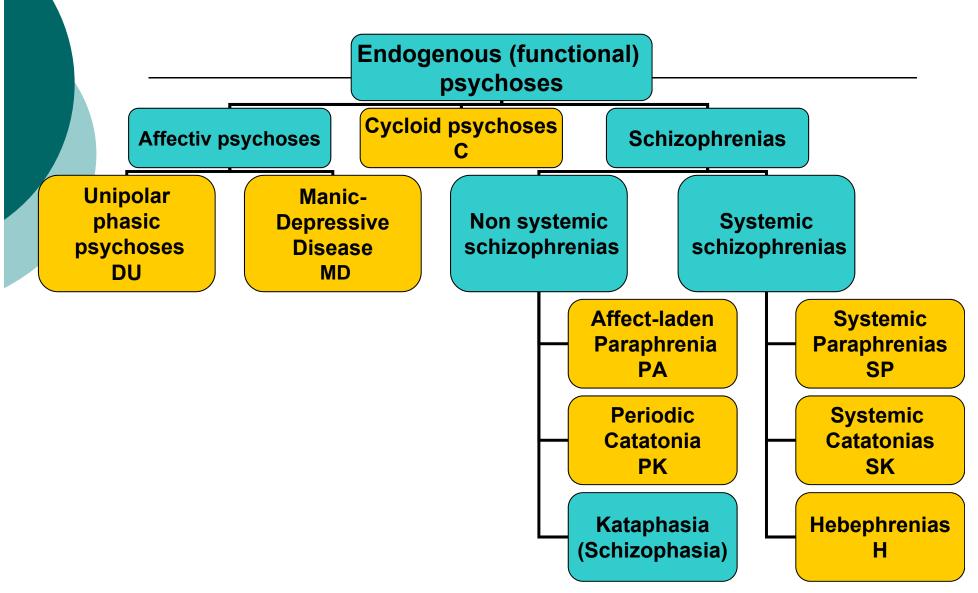
#### Gábor Ungvári (1993, Biological Psychiatry):

"His diagnoses imply **prognostic prediction**, that is, they are true life-time diagnoses. This "backward" direction of his classification system enabled Leonhard to identify the most persistent signs and symptoms as characteristics of a particular subtype during its natural history."

### Three great groups of "endogenous psychoses"

- 1. The affective (phasic) psychoses
- 2. The cycloid psychoses (including motility psychoses)
- 3. The schizohrenic psychoses
  - non-systemic: fluctuating severity and symptom picture
- systemic: the symptoms underwent no marked change once established

#### Leonhard' classification



**DU** Unipolar phasic psychoses

MD Manic-Depressive Disease

C Cycloid psychoses

**PA** Affect-laden Paraphrenia

**KP** Periodic catatonia

**SP** Systemic paraphrenias

**SK** Systemic catatonias

H Hebephrenias

**NK** Normal control group

#### Cycloid psychoses

- Anxiety-happiness psychoses
- Excited-inhibited confusion psychoses
- Hyperkinetic-akinetik motility psychosis

Complete recovery from each phase

#### Non-systemic schizophrenias

- o Affect-laden Paraphrenia
- o Periodic catatonia
- Cataphasia (Schizophasia)
- much closer to cycloid psychoses than systemic sch.
- o fluctuating symptom picture
- bipolarity
- fluctuating severity (remission-periodic course)

#### Systemic schizophrenias

- Systemic paraphrenias
- Systemic catatonias
- Hebephrenias
- in early stages accesory symptoms, however the defect syndrom is in the foreground from onset
- the symptoms underwent no marked change once established

### Possible manifestations of psychiatric disorders

Symptoms of experience

(hallucinations, delusions, anxiety)

- Symptoms of behavior
- Maladjusment of social adaptation
- Decrease of productivity

### Behavior suggests psychiatric disorder, if ...

- it is not in accordance with social norms
- it is not in accordance with personal habits and motivations
- cannot be understood on the basis of previous personality traits

### Persons to discover the illness in everyday life:

- o parents
- spouse
- teachers
- colleauges
- GP (general practicioners)
- pharmacologists
- policemen
- lawyers
- priests
- o etc.

#### **Psychosis:**

loss of reality control

#### Psychoses according to etiology

**Organic:** known somatic illness in the background

- Exogenous: known drug in the background
- Reactive: understood from special situations, psychic experiences
- Endogenous

#### "ENDOGENEOUS":

- Non organic/somatic
- o Non exogenous
- Non psychic

"inner" origin

### Differential diagnosis of schizophrenia

#### "Functional"

Schizotypal disorder

Persistent delusional

disorders

Schizoaffective

disorders

Induced delusional

disorder

Mania

Depression

#### "Organic"

Drug/substance-induced psy

**Epilepsy** 

**Tumors** 

Stroke

Early dementia

**Endocrine causes** 

**Infections** 

Multiple sclerosis

Autoimmune disorder (SLE)

Metabolic disorders

#### Schizophrenia

"The psychopathology of schizophrenia is one of the most intriguing, since it permits a many-sided insight into the workings of the diseased as well as the healthy psyche"

Eugen Bleuler

No two cases are ever exactly the same

### Benedict-Augustin Morel (1809-1873)

First use "premature dementia" (in the nineteenth century meaning of incoherence rather than low intelligence)

The first psychiatrist to classify psychotic

illnesses on the basis of outcome rather

than clinical presentation at a given moment

### Diagnoses considered different, yet with similar courses of illness

Mendel: Paranoia (1884)

Kahlbaum: Catatonia (1868-1874)

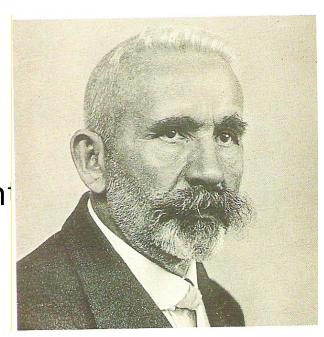
Hecker: Hebephrenia (1871)

#### **Emil Kraepelin** (1856-1926)

#### dementia praecox (1893)

onset at a relatively early age chronic and deteriorating course

- -to differentiate sch as an independential
   illness
- to establish disease on the basis of outcome/course
- -separating from manic-depressive illness



#### **Eugen Bleuler** (1857-1939)

#### schizophrenia 1911

the reason for the cognitive impairment is the splitting of the psychic processes (behavior, emotion,

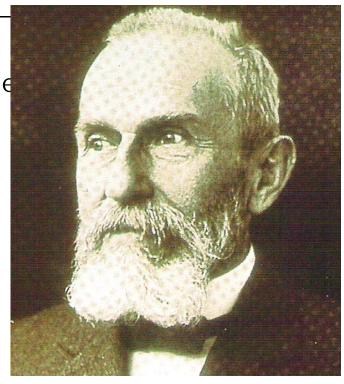
thinking)

fundamental (basic) symptoms: four A's

affective blunting disturbance of

association

autism ambivalence



#### accessory (additional) symptoms: delusions,

hallucinations

Dementia Praecox or the Group of Schizophrenias

1911

Bleuler shifted the emphasis in schizophrenia from course and outcome to the cross-sectional study of symptoms, essentially broadening the concept of the disease and giving a more generous prognosis

#### **Kurt Schneider** (1887-1967)

a separate disease, but a type of illness

### first-rank psychotic symptoms

- Audible thoughts
- Voices heard arguing
- Voices heard commenting on one's actions
- The experience of influences playing on the body
- Thought withdrawal and other interferences with thought
- Delusional perception
- Feelings, impulses and volitional acts experienced as the work or influence of others



### second-rank psychotic symptoms

Hallutinations
Flight of ideas
Distractedness
Perplexity
Out-of- body experiences
Emotional blunting
Compulsive behavior

#### **Definition (DSM-IV-TR)**

- characteristic positive and/or negative symptoms
- deterioration in social, occupational, and/or interpersonal relationship
- continuous signs of the disturbance for at least 6 months
- the disturbance is not due to schizoaffective disorder, mood disorder with psychotic features, substance abuse and/or general medical condition

#### Subtypes of schizophrenia

- Catatonic type
- Disorganized type
- Paranoid type
- Residual type
- Undifferentiated type

#### Catatonic schizophrenia

- Catalepsy
- Stupor
- Hyperkinesiae
- Stereotypies
- Mannerism
- Negativism
- Automatisms
- Impulsivity

### Hebephrenic/ Disorganized schizophrenia

- Incoherence
- Severe emotional disturbance
- Wild excitement alternating with fearfulness
- Vivid hallutinations
- Absurd, bizarre delusions that are prolific, fleeting, and frequently concerned with ideas of omnipotence, sex change, cosmic identity and rebirth

#### Paranoid schizophrenia

- Feeling that external reality has changed
- Suspicion and ideas of dedication
- Ideas of references
- Hallucinations, especially of body sensations
- Delusions of persecutions or of grandiosity

#### Residual schizophrenia

- Interepisodic form
- The condition of being without gross psychotic symptoms following a psychotic schizophrenic episode

#### **Catatonic symptoms**

- These motor symptoms may occur in any form of schizophrenia, but are particularly associated with the catatonic subtype
- Ambitendence
- Echopraxia
- Stereotypies
- Negativism
- Posturing
- Waxy flexibility

### Principal functions of medical classifications

- Denomination: assigning a common name to a group of phenomena
- Qualification: enriching the information content of a category by adding relevant descriptive features
- Prediction: a statement about the expected course and outcome, as well as the likely response to treatment

#### Important distinction

- True comorbidity: cooccurence of etiologically independent disorders
- Spurious comorbidity: masking complex, but essentially unitary syndromes